



# **Mathematical Structures of Loopy Belief Propagation and Cluster Variation Method**

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# Contents

- 1. Introduction**
- 2. Conventional Belief Propagation**
- 3. Interpretation of Adaptive TAP method  
by Cluster Variation Method**
- 4. Extension of Adaptive TAP method**
- 5. Quantum Belief Propagation**
- 6. Concluding Remarks**

# Abstract

**We review of conventional generalized loopy belief propagation based on cluster variation method.**

**Some interpretations and extensions of the adaptive TAP method are given by using the cluster variation method.**

**Conventional formalisms and extensions of the quantum belief propagation are also summarized.**



## Belief Propagation

- **Belief Propagation (BP) has been proposed in order to achieve probabilistic inference systems (Pearl, 1988).**
- **It has been suggested that BP has a closed relationship to mean field methods in the statistical mechanics (Kabashima and Saad 1998).**
- **Generalizations of the BP have been proposed based on the cluster variation method which is one of the advanced mean field methods in the statistical mechanics (Yedidia, Freeman and Weiss, 2000).**

# Cluster Variation Method



- **Cluster Variation Method for Classical System**  
**R. Kikuchi: A theory of cooperative phenomena, Phys. Rev., 81 (1951).**  
**T. Morita: Cluster variation method of cooperative phenomena and its generalization I, J. Phys. Soc. Jpn, 12 (1957).**
- **Cluster Variation Method for Quantum System**  
**T. Morita: Cluster variation method of cooperative phenomena and its generalization II, Quantum Statistics, J. Phys. Soc. Jpn, 12 (1957).**

# Contents

1. Introduction
- 2. Conventional Belief Propagation**
3. Interpretation of Adaptive TAP method  
by Cluster Variation Method
4. Extension of Adaptive TAP method
5. Quantum Belief Propagation
6. Summary

# Classical Spin System

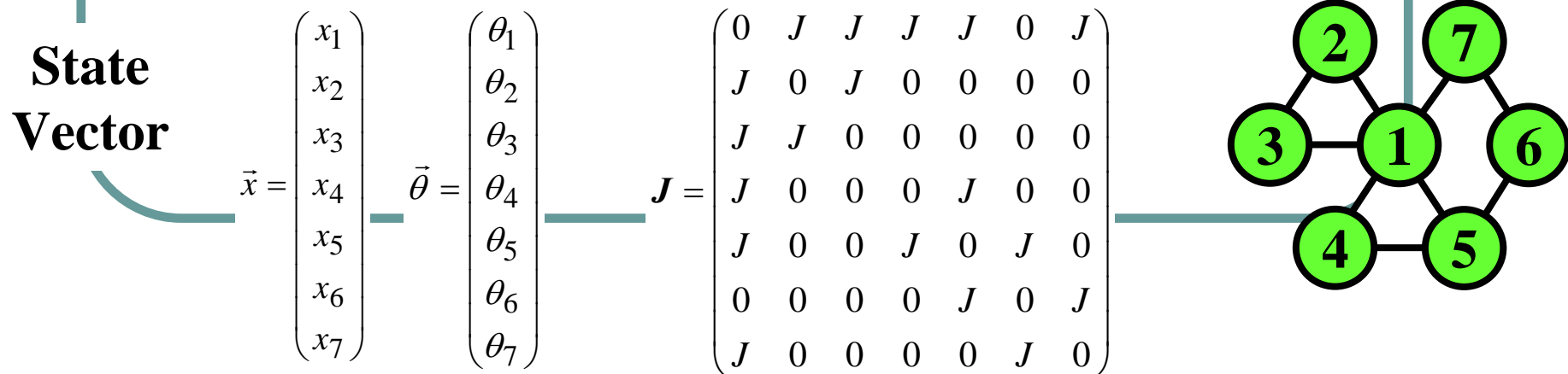
## Probability Distribution in the present talk

$$P(\mathbf{x}) \equiv \frac{1}{Z} \exp(-H(\mathbf{x}))$$

$$H(\mathbf{x}) \equiv -\vec{\theta}^T \vec{x} - \frac{1}{2} \vec{x}^T \mathbf{J} \vec{x} = -\sum_{i \in V} \theta_i x_i - \sum_{ij \in E} J x_i x_j$$

$V$ : Set of all the nodes     $E$ : Set of all the edges

State Variable     $x_i \in \{+1, -1\}$



# Variational Principle of Free Energy Minimum

Gibbs distribution

$$P(\mathbf{x}) \equiv \frac{1}{Z} \exp(-H(\mathbf{x}))$$

satisfies the minimization of free energy

$$\arg \min_Q \left\{ F[Q] \mid \sum_{\vec{x}} Q(\vec{x}) = 1 \right\} = P(\mathbf{x})$$

$$F[Q] = \sum_{\mathbf{x}} (-H(\mathbf{x}) + \ln Q(\mathbf{x})) Q(\mathbf{x})$$

Free Energy for Trial Probability  $Q(\mathbf{x})$

$$L[Q] \equiv F[Q] - \lambda (\sum_{\vec{x}} Q(\vec{x}) - 1) = \sum_{\mathbf{x}} (-H(\mathbf{x}) + \ln Q(\mathbf{x})) Q(\mathbf{x}) - \lambda (\sum_{\vec{x}} Q(\vec{x}) - 1)$$
$$\longrightarrow \frac{\delta L[Q]}{\delta Q(\vec{x})} = 0 \longrightarrow Q(\vec{x}) = \exp(-\lambda) \exp(-H(\vec{x}))$$



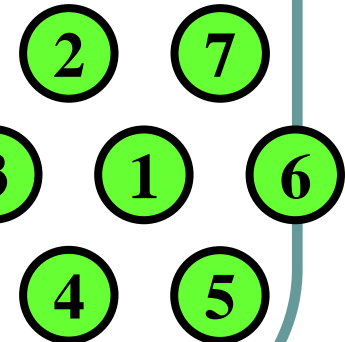
# Tractable Probabilistic Model

In the case of  $J=0$  for all the edges  $ij$

$$H(\mathbf{x}) \equiv - \sum_{i \in V} \theta_i x_i \quad \longrightarrow \quad P(\vec{x}) = \prod_{i \in V} \frac{\exp(\theta_i x_i)}{\sum_{x_i = \pm 1} \exp(\theta_i x_i)}$$

$$\langle x_i \rangle = \sum_{\vec{x}} x_i P(\vec{x}) = \tanh(\theta_i) \quad \text{Factorizable Form}$$


$$\langle x_i x_j \rangle = \sum_{\vec{x}} x_i x_j P(\vec{x}) = \tanh(\theta_i) \tanh(\theta_j)$$



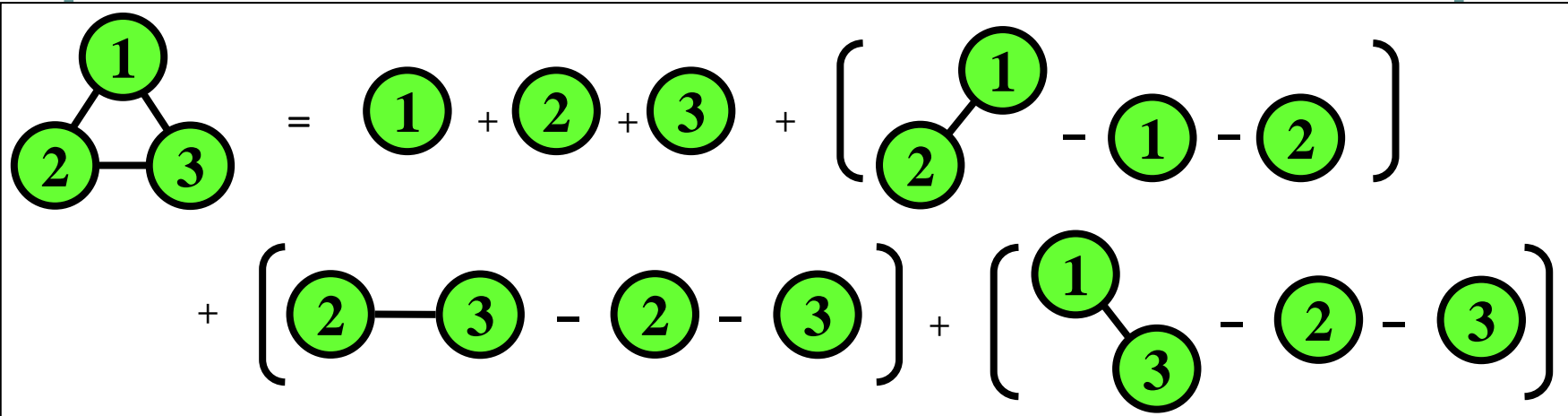
# Conventional Loopy Belief Propagation

$$P(\mathbf{x}) = \frac{1}{Z} \exp(-H(\mathbf{x}))$$

$$x_i \in \{+1, -1\}$$

$$\begin{aligned}
 H(\mathbf{x}) &= - \sum_{i \in V} \theta_i x_i - \sum_{ij \in E} J x_i x_j \\
 &= - \sum_{i \in V} \theta_i x_i - \sum_{ij \in E} \left( (\theta_i x_i + \theta_j x_j + J x_i x_j) - \theta_i x_i - \theta_j x_j \right)
 \end{aligned}$$


**Example**       $V = \{1, 2, 3\}$        $E = \{12, 23, 13\}$



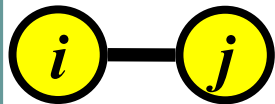
# Conventional Loopy Belief Propagation

In the cluster variation method, the free energy is approximately expressed in terms of the free energies of all the edges and nodes.

$$F[Q] = \sum_{\mathbf{x}} (-H(\mathbf{x}) + \ln Q(\mathbf{x})) Q(\mathbf{x})$$

Bethe Free Energy

$$F_{\text{Bethe}}[\{Q_i, Q_{ij}\}] = \sum_{i \in V} F_i[Q_i] + \sum_{ij \in E} (F_{ij}[Q_{ij}] - F_i[Q_i] - F_j[Q_j])$$



$$F_{ij}[Q_{ij}] = \sum_{x_i = \pm 1} \sum_{x_j = \pm 1} (-\theta_i x_i - \theta_j x_j - J x_i x_j + \ln Q_{ij}(x_i, x_j)) Q_{ij}(x_i, x_j)$$



$$F_i[Q_i] = \sum_{x_i} (-\theta_i x_i + \ln Q_i(x_i)) Q_i(x_i)$$

$$Q_{ij}(x_i, x_j) \equiv \sum_{\mathbf{x} | \{x_i, x_j\}} Q(\mathbf{x})$$

$$Q_i(x_i) \equiv \sum_{\mathbf{x} | x_i} Q(\mathbf{x})$$

# Conventional Loopy Belief Propagation



Marginal probabilities of edges and nodes are determined so as to minimize the Bethe free energy under some constraint conditions.

$$\operatorname{argmin}_{\{Q_i, Q_{ij}\}} \left\{ F_{\text{Bethe}}[\{Q_i, Q_{ij}\}] \left. \begin{array}{l} Q_i(\xi) = \sum_{\zeta} Q_{ij}(\xi, \zeta) \\ \sum_{\xi} Q_i(\xi) = \sum_{\xi} \sum_{\zeta} Q_{ij}(\xi, \zeta) = 1 \end{array} \right\} \right.$$

Lagrange Multipliers to ensure the constraints

$$L_{\text{Bethe}}[\{Q_i, Q_{ij}\}] \equiv F_{\text{Bethe}}[\{Q_i, Q_{ij}\}] - \sum_{i \in V} \sum_{j \in \partial i} \sum_{\xi} \lambda_{i,j}(\xi) \left( Q_i(\xi) - \sum_{\zeta} Q_{ij}(\xi, \zeta) \right) - \sum_{i \in V} v_i \left( \sum_{\xi} Q_i(\xi) - 1 \right) - \sum_{ij \in E} v_{ij} \left( \sum_{\xi} \sum_{\zeta} Q_{ij}(\xi, \zeta) - 1 \right)$$

# Conventional Loopy Belief Propagation

$$\frac{\partial}{\partial Q_i(x_i)} L_{\text{Bethe}}[\{Q_i, Q_{ij}\}] = 0$$

$$\frac{\partial}{\partial Q_{ij}(x_i, x_j)} L_{\text{Bethe}}[\{Q_i, Q_{ij}\}] = 0$$

**Extremum Condition**

**Approximate Forms of Marginals**

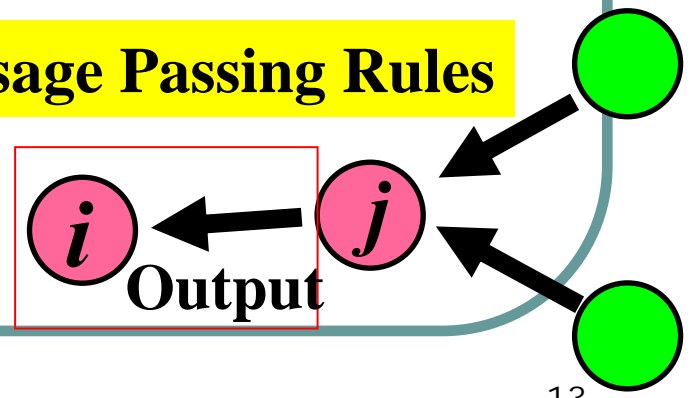
$$Q_i(x_i) = \frac{1}{Z_i} \left( \prod_{k \in \partial i} M_{k \rightarrow i}(x_i) \right) e^{\theta_i x_i}$$

$$Q_{ij}(x_i, x_j) = \frac{1}{Z_{ij}} \exp(\theta_i x_i + \theta_j x_j + J x_i x_j) \times \left( \prod_{k \in \partial i} M_{k \rightarrow i}(x_i) \right) \left( \prod_{l \in \partial j} M_{l \rightarrow j}(x_j) \right)$$

$$Q_i(x_i) = \sum_{x_j} Q_{ij}(x_i, x_j)$$

**Reducibility Conditions**

**Message Passing Rules**



# Contents

1. Introduction
2. Conventional Belief Propagation
- 3. Interpretation of Adaptive TAP method  
by Cluster Variation Method**
4. Extension of Adaptive TAP method
5. Quantum Belief Propagation
6. Summary

# Tractable Probabilistic Model

## Gaussian graphical model

Averages, variances and covariances are exactly calculated by using multidimensional Gaussian integral formulas.

$$\begin{aligned}\rho(\vec{x}) &\equiv \frac{1}{Z_f} \exp\left(-\frac{1}{2} \sum_{i \in V} D_i x_i^2 - H(\vec{x})\right) \\ &= \frac{1}{Z_f} \exp\left(\vec{\theta}^T \vec{x} - \frac{1}{2} \vec{x}^T (\mathbf{D} - \mathbf{J}) \vec{x}\right)\end{aligned}$$

$$\vec{m} = \langle \vec{x} \rangle = \int_{-\infty}^{+\infty} \vec{x} \rho(\vec{x}) d\vec{x}$$

$$= -(\mathbf{D} - \mathbf{J})^{-1} \vec{\theta}$$

$$H(\mathbf{x}) \equiv -\vec{\theta}^T \vec{x} - \frac{1}{2} \vec{x}^T \mathbf{J} \vec{x}$$

$$= -\sum_{i \in V} \theta_i x_i - \sum_{ij \in E} J_{ij} x_i x_j$$

$$\langle (x_i - m_i)(x_j - m_j) \rangle = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} (x_i - m_i)(x_j - m_j) \rho(\vec{x}) d\vec{x}$$

$$= \langle i | (\mathbf{D} - \mathbf{J})^{-1} | j \rangle$$

# Tractable Probabilistic Model

## Gaussian graphical model

For the given probability distribution  $P(x)$ , we introduce the Gaussian graphical model so as to satisfy the following relations:

$$\int_{-\infty}^{+\infty} x_i^2 \rho(\vec{x}) d\vec{x} = 1$$

$$\sum_{x_i = \pm 1} x_i P_i(x_i) = \int_{-\infty}^{+\infty} x_i \rho(\vec{x}) d\vec{x}$$

$$P(\vec{x}) = \frac{1}{Z} \exp(-H(\vec{x}))$$

Marginal Probability

$$\rho(\vec{x}) \equiv \frac{1}{Z_f} \exp\left(-\frac{1}{2} \sum_{i \in V} D_i x_i^2 + \sum_{i \in V} \mu_i x_i - H(\vec{x})\right)$$

Approximate form of  $P_i(x_i)$  should be determined by using the cluster variation method.



# Interpretation of Adaptive TAP

Adaptive TAP Free energy can be given in terms of the free energies of the Gaussian graphical model and of all the nodes in the present binary spin system as follows:

$$F_{\text{ATAP}}[\rho, \{Q_i, \rho_i\}] = F_f[\rho] + \sum_{i \in V} (F_i[Q_i] - F_{if}[\rho_i])$$

$$F_f[\rho] = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \cdots \int_{-\infty}^{+\infty} (H(\vec{x}) + \ln \rho(\vec{x})) \rho(\vec{x}) dx_1 dx_2 \cdots dx_N$$

$$F_{if}[\rho_i] = \int_{-\infty}^{+\infty} (-\theta_i x_i + \ln \rho_i(x_i)) \rho_i(x_i) dx_i$$

$$F_i[Q_i] = \sum_{x_i = \pm 1} (-\theta_i x_i + \ln Q_i(x_i)) Q_i(x_i)$$

$$\rho(\vec{x}) \equiv \frac{1}{Z_f} \exp \left( -\frac{1}{2} \sum_{i \in V} D_i x_i^2 + \sum_{i \in V} \mu_i x_i - H(\vec{x}) \right)$$

# Interpretation of Adaptive TAP

**Marginal probabilities of edges and nodes are determined so as to minimize the adaptive TAP free energy under some constraint conditions.**

$$L_{\text{ATAP}}[\{\rho, \rho_i, Q_i\}] \equiv F_{\text{ATAP}}[\{\rho, \rho_i, Q_i\}] - \sum_{i \in V} D_i \left( \int x_i^2 \rho(\vec{x}) d\vec{x} - 1 \right) - \sum_{i \in V} h_i \left( \sum_{x_i} x_i Q_i(x_i) - \int x_i \rho(\vec{x}) d\vec{x} \right) - \sum_{i \in V} v_i \left( \sum_{x_i} Q_i(x_i) - 1 \right)$$

$$\rho(\vec{x}) \equiv \frac{1}{Z_f} \exp \left( -\frac{1}{2} \sum_{i \in V} D_i x_i^2 + \sum_{i \in V} \mu_i x_i - H(\vec{x}) \right)$$

# Interpretation of Adaptive TAP

By taking the first deviations of the adaptive TAP free energy, we derive the approximate form of marginal probabilities as follows:

$$Q_i(x_i) \propto \exp(h_i x_i)$$

$$\rho(\vec{x}) \propto \exp\left( (\vec{\mu} - \vec{\theta})\vec{x} - \frac{1}{2}\vec{x}^T (\mathbf{D} - \mathbf{J})\vec{x} \right)$$

$$\begin{cases} \tanh(h_i) = (\vec{\mu} - \vec{\theta})(\mathbf{D} - \mathbf{J})^{-1}|i\rangle \\ 1 - \tanh^2(h_i) = \langle i | (\mathbf{D} - \mathbf{J})^{-1} | i \rangle \\ \langle i | \mathbf{D} | j \rangle = 0 \quad (i \neq j) \end{cases}$$

# Contents

1. Introduction
2. Conventional Belief Propagation
3. Interpretation of Adaptive TAP method  
by Cluster Variation Method
- 4. Extension of Adaptive TAP method**
5. Quantum Belief Propagation
6. Summary


# Extension of Adaptive TAP

In the similar arguments, we can construct the following approximate free energy:

$$\rho(\vec{x}) \equiv \frac{1}{Z_f} \exp\left(\frac{1}{2} \sum_{i \in V} D_i x_i^2 - H(\vec{x})\right)$$

$$\rho_i(x_i) = \int \delta(x_i - z_i) \rho(\vec{z}) d\vec{z}$$

$$\rho_{ij}(x_i, x_j) = \int \delta(x_i - z_i) \delta(x_j - z_j) \rho(\vec{z}) d\vec{z}$$

$$F_{\text{EATAP}}[\rho, \{Q_i, \rho_i, Q_{ij}, \rho_{ij}\}] = F_f[\rho] + \sum_{i \in V} (F_i[Q_i] - F_{if}[\rho_i]) + \sum_{ij \in E} ((F_{ij}[Q_{ij}] - F_{ijf}[\rho_{ij}]) - (F_i[Q_i] - F_{if}[\rho_i]) - (F_j[Q_j] - F_{jf}[\rho_j]))$$


$$F_f[\rho] = \int_{-\infty}^{+\infty} (H(\vec{z}) + \ln \rho(\vec{z})) \rho(\vec{z}) d\vec{z}$$

$$F_{if}[\rho_i] = \int_{-\infty}^{+\infty} (-\theta_i x_i + \ln \rho_i(x_i)) \rho_i(x_i) dx_i \quad F_{ijf}[\rho_{ij}] = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} (-\theta_i x_i - \theta_j x_j - J_{ij} x_i x_j + \ln \rho_{ij}(x_i, x_j)) \rho_{ij}(x_i, x_j) dx_i dx_j$$

$$F[Q_i] = \sum_{x_i = \pm 1} (-\theta_i x_i + \ln Q_i(x_i)) Q_i(x_i) \quad F_{ij}[Q_{ij}] = \sum_{x_i = \pm 1} \sum_{x_j = \pm 1} (-\theta_i x_i - \theta_j x_j - J_{ij} x_i x_j + \ln Q_{ij}(x_i, x_j)) Q_{ij}(x_i, x_j)$$

# Extension of Adaptive TAP

Approximate forms of marginal probabilities are derived by considering the following Lagrange multipliers for the constraint conditions.

$$\begin{aligned}
 L_{\text{EATAP}}[\{\rho, \rho_i, \rho_{ij}, Q_i, Q_{ij}\}] \equiv & F_{\text{EATAP}}[\{\rho, \rho_i, \rho_{ij}, Q_i, Q_{ij}\}] - \sum_{i \in V} \sum_{j \in \partial i} h_{i,ij} \left( \sum_{x_i} x_i Q_i(x_i) - \sum_{x_i} \sum_{x_j} x_i Q_i(x_i, x_j) \right) \\
 & - \sum_{i \in V} h_i \left( \sum_{x_i} x_i Q_i(x_i) - \int x_i \rho(\mathbf{x}) dx \right) - \sum_{i \in E} h_{ij} \left( \sum_{x_i} x_i x_j Q_{ij}(x_i, x_j) - \int x_i x_j \rho(\mathbf{x}) dx \right) \\
 & - \sum_{i \in V} D_i \left( \int x_i^2 \rho(\mathbf{x}) dx - 1 \right) - \sum_{i \in V} v_i \left( \sum_{x_i} Q_i(x_i) - 1 \right) - \sum_{ij \in E} v_{ij} \left( \sum_{x_i} \sum_{x_j} Q_{ij}(x_i, x_j) - 1 \right)
 \end{aligned}$$

$$\rho(\vec{x}) \equiv \frac{1}{Z_f} \exp \left( \frac{1}{2} \sum_{i \in V} D_i x_i^2 - H(\vec{x}) \right)$$

$$\rho_i(x_i) = \int \delta(x_i - z_i) \rho(\mathbf{z}) dz \quad \rho_{ij}(x_i, x_j) = \int \delta(x_i - z_i) \delta(x_j - z_j) \rho(\mathbf{z}) dz$$

# Contents

1. Introduction
2. Conventional Belief Propagation
3. Interpretation of Adaptive TAP method  
by Cluster Variation Method
4. Extension of Adaptive TAP method
- 5. Quantum Belief Propagation**
6. Summary

# Density Matrix and Reduced Density Matrix

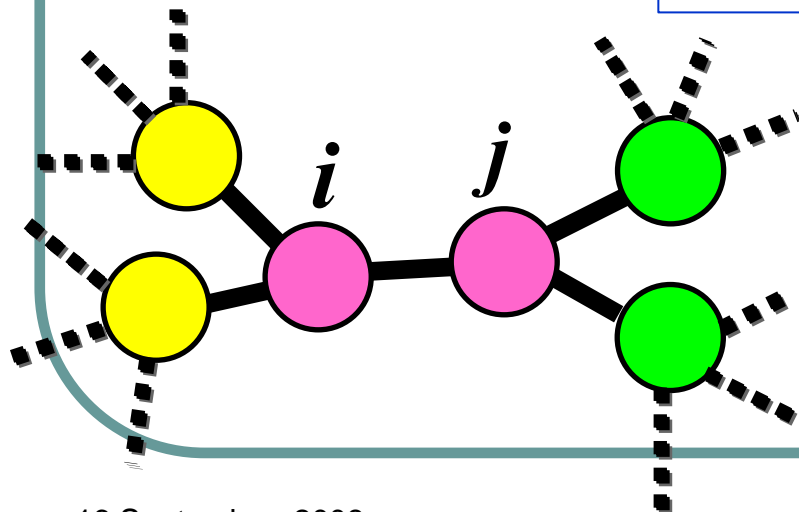
$$H \equiv \sum_{ij \in E} \hat{H}_{ij}$$

$$\rho \equiv \frac{1}{Z} \exp(-H)$$

Reduced Density Matrix

$$\rho_i \equiv \text{tr}_{\setminus i} \rho$$

$$\rho_{ij} \equiv \text{tr}_{\setminus ij} \rho$$



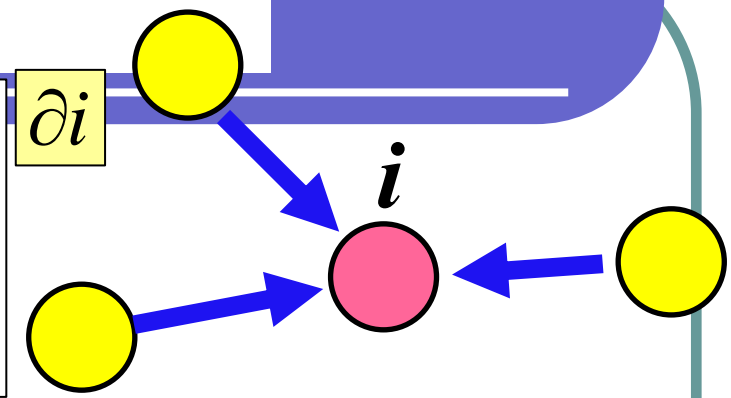
Reducibility Condition

$$\rho_i \equiv \text{tr}_{\setminus i} \rho_{ij}$$



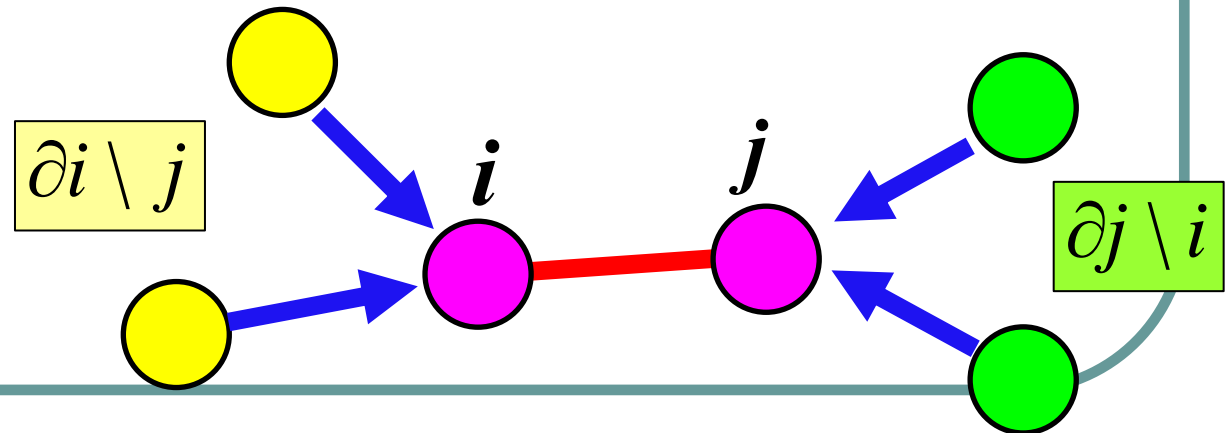
# Reduced Density Matrix and Effective Fields

$$\rho_i \cong \frac{1}{Z_i} \exp \left( \sum_{k \in \partial i} \lambda_{k \rightarrow i} \right)$$



$$\rho_{ij} \cong \frac{1}{Z_{ij}} \exp \left( -H_{ij} + \sum_{k \in \partial i \setminus j} \lambda_{k \rightarrow i} \otimes I + \sum_{l \in \partial j \setminus i} I \otimes \lambda_{l \rightarrow j} \right)$$

All effective field are matrices

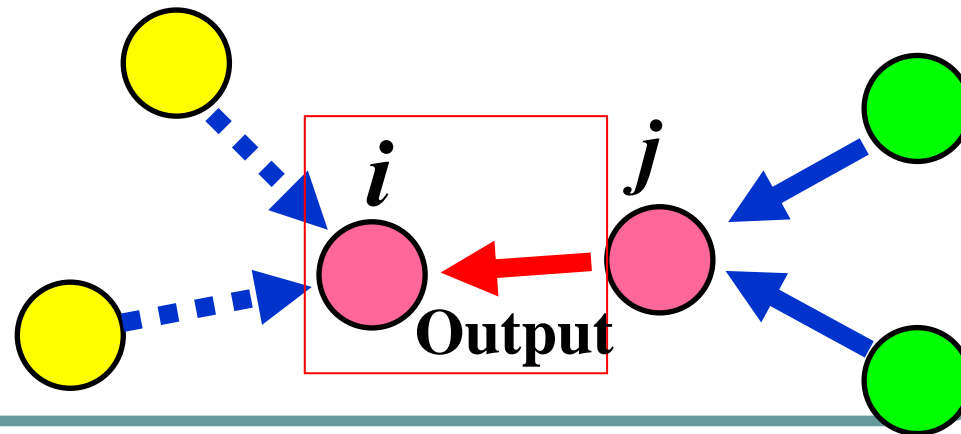


# Conventional Belief Propagation for Quantum Statistical Systems

## Propagating Rule of Effective Fields

$$\lambda_{j \rightarrow i} = - \sum_{k \in \partial i \setminus j} \lambda_{k \rightarrow i} + \log \left[ \frac{Z_i}{Z_{ij}} \text{tr}_{\setminus i} \left[ \exp \left( -H_{ij} + \sum_{k \in \partial i \setminus j} \lambda_{k \rightarrow i} \otimes I + \sum_{l \in \partial j \setminus i} I \otimes \lambda_{l \rightarrow j} \right) \right] \right]$$

$$\rho_i \equiv \text{tr}_{\setminus i} \rho_{ij}$$



# Quantum Heisenberg Model

$$S^x \equiv \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$S^y \equiv \frac{1}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$S^z \equiv \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

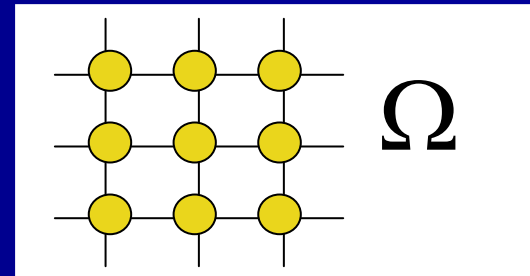
$$S_i^v \equiv \overbrace{I \otimes I \otimes \dots \otimes I \otimes S_i^v \otimes I \otimes I \otimes \dots \otimes I}^N \quad (v = x, y, z)$$

↑  
*i*

$$H \equiv - \sum_{ij \in E} J (S_i^x S_j^x + S_i^y S_j^y + S_i^z S_j^z) - \sum_{i \in V} h_i S_i^z$$

**$2^N \times 2^N$  Matrix**

$$\rho \equiv \frac{\exp(-\beta H)}{\text{tr}[\exp(-\beta H)]}$$



$$H \equiv - \sum_{ij \in E} J (S_i^x S_j^x + S_i^y S_j^y + S_i^z S_j^z)$$

## Simple Cubic Lattice

High Temperature Expansion  
+ Pade Approximation: 0.8387 ( $J > 0$ )

$J > 0$

Approximation	Curie Point
Bethe	0.9102
Kikuchi	0.9108

$J < 0$

Approximation	Neel Point	Anti Neel Point
Bethe	1.0153	0.4298
Kikuchi	1.0232	0.2056

Ground state is always paramagnetic state in both Bethe and Kikuchi approximations.

# Random Heisenberg Magnets by using Bethe Approximation

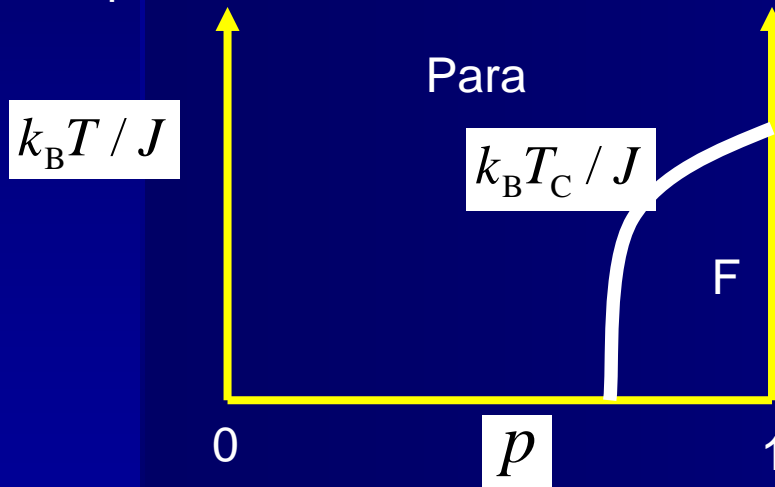


$$H \equiv - \sum_{ij \in E} J_{ij} (S_i^x S_j^x + S_i^y S_j^y + S_i^z S_j^z)$$

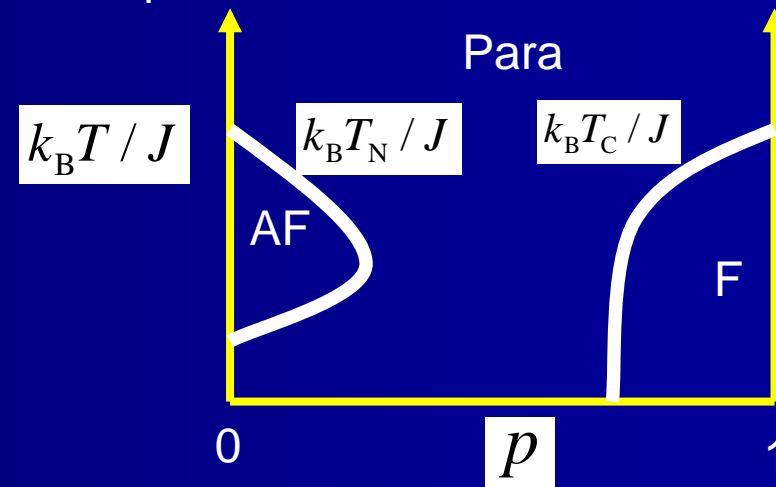
S. Katsura and K. Shimada:  
Phys. Stat. Sol. 97 (1980).

$$P(J_{ij}) = p\delta(J_{ij} - J) + (1-p)\delta(J_{ij} + J)$$

Square Lattice



Simple Cubic Lattice



# Quantum Adaptive TAP approach

$$H = -\mu \sum_{i \in V} a_i^+ a_i - t \sum_{ij \in E} (a_i^+ a_j + a_j^+ a_i) + U \sum_{i \in V} a_i^+ a_i^+ a_i a_i$$

**Bose  
Lattice Gas**

**Trial Reduced  
Density Matrices**

$$\rho \propto \exp \left( \sum_{i \in V} (\mu + h_{i, \text{vf}}) a_i^+ a_i + t \sum_{ij \in E} (a_i^+ a_j + a_j^+ a_i) \right)$$

$$\rho_i \propto \exp(h_{i, \text{if}} a_i^+ a_i) \quad Q_i \propto \exp(h_{i, \text{if}} a_i^+ a_i - U a_i^+ a_i^+ a_i a_i)$$

$$F_{\text{QATAP}}[\rho, \{Q_i, \rho_i\}] = F_{\text{f}}[\rho] + \sum_{i \in V} (F_i[Q_i] - F_{\text{if}}[\rho_i])$$

$$\begin{cases} \text{tr}[a_i^+ a_i \rho] = \text{tr}[a_i^+ a_i \rho_i] = \text{tr}[a_i^+ a_i Q_i] \\ \text{tr}[\rho] = \text{tr}[\rho_i] = \text{tr}[Q_i] = 1 \end{cases}$$

# Quantum Heisenberg Model



$$H \equiv - \sum_{ij \in B} J (S_i^x S_j^x + S_i^y S_j^y + S_i^z S_j^z) - \sum_{i \in \Omega} h_i S_i^z \quad (J > 0)$$

## Holstain-Primakof Transformation

Tractable Part

$$H \cong - \frac{J}{2} \sum_{ij \in B} \sum_{\sigma=\uparrow, \downarrow} (a_{i\sigma}^+ a_{j\sigma} + a_{j\sigma}^+ a_{i\sigma}) + \frac{J}{2} \sum_{i \in \Omega} \sum_{\sigma=\uparrow, \downarrow} a_{i\sigma}^+ a_{i\sigma} - \sum_{i \in \Omega} h_i (a_{i\uparrow}^+ a_{i\uparrow} - a_{i\downarrow}^+ a_{i\downarrow})$$

$$- J \sum_{ij \in B} \sum_{\sigma=\uparrow, \downarrow} a_{i\sigma}^+ a_{j\sigma}^+ a_{i\sigma} a_{j\sigma} - U \sum_{i \in \Omega} \sum_{\sigma=\uparrow, \downarrow} a_{i\sigma}^+ a_{i\sigma}^+ a_{i\sigma} a_{i\sigma}$$

## Pair Approximation of QCVM

T. Morita: A Bose Lattice Gas Equivalent to Heisenberg Model and Its QCVM Study, Journal of the Physical Society of Japan, Vol.64, No.4, pp.1211-1216, 1995.

# Contents

- 1. Introduction**
- 2. Conventional Belief Propagation**
- 3. Interpretation of Adaptive TAP method  
by Cluster Variation Method**
- 4. Extension of Adaptive TAP method**
- 5. Quantum Belief Propagation**
- 6. Summary**



# Summary

**We reviewed the conventional loopy belief propagation based on cluster variation method. Some interpretations and extensions of the adaptive TAP method have been given by using the cluster variation method.**

**Conventional formalisms and extensions of the quantum belief propagation have been also summarized.**

